



Attorney's Docket No.: 80398.P115

Patent

In re the Application of: Embree, et al.

(inventor(s))

Application No.: 08/936,344

Filed: 9/24/97

For: Memory Allocation for Real-Time Processing  
(title)

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.  
 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.  
 No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)		SMALL ENTITY	OTHER THAN A SMALL ENTITY
Total Claims	*15	Minus	**20	0	X9 \$0	X18 \$0
Indep. Claims	*2	Minus	***3	0	X39 \$0	X78 \$0
<b>First Presentation of Multiple Dependent Claim(s)</b>					+130 \$0	+260 \$0
				Total Add. Fee	\$0	Total Add. Fee \$0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on September 1, 1999.

Date of Deposit

Cheri Clinkenbeard

Name of Person Mailing Correspondence  
Clinkenbeard Date 9/1/99  
Signature Date

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A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
 Applicant(s) hereby Petition(s) for an Extension of Time of one month(s) pursuant to 37 C.F.R. § 1.136(a).

A check for \$ 110.00 is attached for processing fees under 37 C.F.R. § 1.17.  
Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

*Maria McCormack Sobrino*

Maria McCormack Sobrino

Reg. No. 31,639

Date: September 1, 1999

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8598



## FEE TRANSMITTAL FOR FY 1999

TOTAL AMOUNT OF PAYMENT (\$) 110.00

## Complete if Known:

Application No. 08/936,344Filing Date 9/24/97First Named Inventor Embree, et al.Group Art Unit 2747Examiner Name Lee, P.Attorney Docket No. 80398.P115

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number \_\_\_\_\_

Deposit Account Name \_\_\_\_\_

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.172.  Payment Enclosed: Check Money Order Other

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SEP 14 1999

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## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	760	201	380
106	310	206	155
107	480	207	240
108	760	208	380
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 02. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>- 20** =</u>	<u>X</u>	<u>=</u>
Independent Claims	<u>- 3** =</u>	<u>X</u>	<u>=</u>
Multiple Dependent			<u>=</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0FEE CALCULATION (continued)3. ADDITIONAL FEES

06/08/99

- 1 -

PTO/SB/17 (12-98)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

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<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
			<b>Surcharge - late filing fee or oath</b>
			<b>Surcharge - late provisional filing fee or cover sheet</b>
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			<b>Non-English specification</b>
			<b>For filing a request for reexamination</b>
			<b>Requesting publication of SIR prior to Examiner action</b>
113	1,840*	113	1,840*
			<b>Requesting publication of SIR after Examiner action</b>
115	110	215	55
116	380	216	190
117	870	217	435
118	1,360	218	680
128	1,850	228	925
			<b>Extension for response within first month</b>
			<b>Extension for response within second month</b>
			<b>Extension for response within third month</b>
			<b>Extension for response within fourth month</b>
			<b>Extension for response within fifth month</b>
119	300	219	150
120	300	220	150
121	260	221	130
138	1,510	138	1,510
140	110	240	55
			<b>Notice of Appeal</b>
			<b>Filing a brief in support of an appeal</b>
			<b>Request for oral hearing</b>
			<b>Petition to institute a public use proceeding</b>
			<b>Petition to revive unavoidably abandoned application</b>
141	1,210	241	605
			<b>Petition to revive unintentionally abandoned application</b>
142	1,210	242	605
143	430	243	215
144	580	244	290
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
			<b>Petitions to the Commissioner</b>
			<b>Petitions related to provisional applications</b>
			<b>Submission of Information Disclosure Stmt</b>
			<b>Recording each patent assignment per property (times number of properties)</b>
146	760	246	380
			<b>For filing a submission after final rejection (see 37 CFR 1.129(a))</b>
149	760	249	380
			<b>For each additional invention to be examined (see 37 CFR 1.129(a))</b>
<b>Other fee (specify)</b> _____			
<b>Other fee (specify)</b> _____			
<b>SUBTOTAL (3) \$ 110.00</b>			

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Maria McCormack Sobrino

Signature Maria McCormack Sobrino Date September 1, 1999

Reg. Number 31,639 Deposit Account User ID 02-2666

**FIRST CLASS CERTIFICATE OF MAILING**

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on September 1, 1999

Date of Deposit

Cheri Clinkenbeard

Name of Person Mailing Correspondence

Cheri Clinkenbeard

Signature

9/1/99

Date

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Paul Michael Embree, et al.

Serial No.: 08/936,344

Filed: September 24, 1997

For: Memory Allocation for Real-  
Time Processing

Art Unit: 2747

Examiner: Ping W. Lee

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ap 3/99



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AMENDMENT

BOX NON-FEE AMENDMENT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action mailed May 14, 1999, Applicant respectfully requests the Examiner to enter the following amendments and consider the following remarks:

IN THE SPECIFICATION:

On page 4, line 15, please insert:

*a1*  
--Figure 6 is a flowchart illustrating a process to read the audio samples and play back the audio data according to one embodiment of the invention.—

IN THE ABSTRACT OF THE DISCLOSURE:

*NE*

Please replace the Abstract of the disclosure by the following: